

# Machine Learning 2.11: Natural Language Processing

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*Understanding human communication*

- Not just text, e.g. speech recognition
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  - Statistical – ML based
- Focusing on statistical (unsurprisingly)
- Little bit of rule based – systems usually use both

## Challenges

- Variable input size:
  - *"The alien mothership is in orbit here! If we hit that bullseye, the rest of the dominoes will fall like a house of cards! Checkmate!"* – 25 words
  - *"Stop exploding you cowards!"* – 4 words

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  - *"Dog bites man."* vs *"Man bites dog."* (word order)
  - *"A car leaves its shed."* vs *"A tree shed its leaves."* (same word, different meaning)
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- Layered: Meaning, subtext, emotion, word play, sarcasm, puns . . .



## Tokenisation

- Chopping arbitrary text into words/punctuation

I am the man with no name . Zapp Brannigan , at your service .

t[0] t[1] t[2] t[3] t[4] t[5] t[6] t[7] t[8] t[9] t[10] t[11] t[12] t[13] t[14]

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- Language dependent!

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- May throw away punctuation (task dependent)
- Language dependent!
- English: Split on space, separate punctuation, except...
  - Dr. Williams' velociraptor will be released at 11:15 a.m.*
  - ice box* vs *ice-box* vs *icebox*
  - Forgottenspaces* and *Accide ntal spaces*
  - #HashTags*, *:-)*, ...
- Rules get complicated – best to use a library

## Stemming

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(150–500K? Many ways to count. . . )
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- Problem: Lots of words!  
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  - Treat as independent  $\implies$  Learn about each independently
  - Stemming: Mapping words with same meaning to their *stem*  
(language and context dependent)
  - Less to learn!
  - Examples:
    - “cat”, “cats”, “kitten”, “kittens”
    - “like”, “likes”, “liked”, “likely”, “liking”
    - “can’t”, “can not”
    - “I.O.U.”, “I owe you”
- (later steps may still need original)

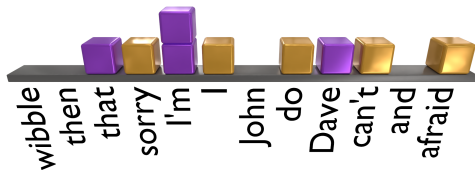
## Porter stemmer

- Many, many stemmers
- Popular for English: *Porter stemmer* + lookup table
- Rules based; steps (simplified a little):
  1. Remove plurals, *-ed* and *-ing*
  2. Lookup table of suffixes, e.g. *-ational* to *-ate*, as in "*transformational*" to "*transformate*"
  3. Second lookup table of suffixes, e.g. removes *-ative*, as in "*appreciative*" to "*appreci*"
  4. Removes suffixes that are not needed (complex rules), e.g. *-ate*, as in "*transformate*" to "*transform*" (two steps)
  5. Final cleanup of tailing *e* and *ll*, as in "*appreciate*" to "*appreci*" (not real root, but consistent)
- Website with paper (1979) and code: <https://tartarus.org/martin/PorterStemmer/>

## Bag of words

- Already mentioned (lecture 1)
- Ignore token order!

*"Im sorry, Dave. Im afraid I cant do that."*



- Sparse histogram (density estimate)
- Stupid, but works for some problems. . .

## Topic models

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- Input: Set of documents
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  - Words associated with each topic
  - Topics of each document
- Unsupervised – kind of *clustering*  
(Per-document mixture model with shared (tied) components)
- Topics subject to human interpretation

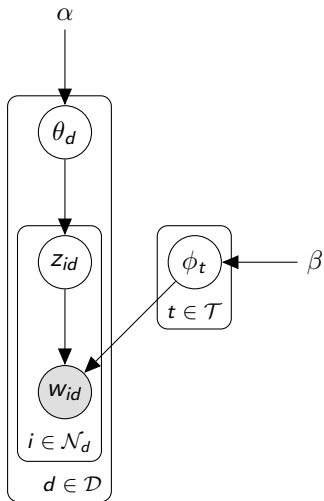


## Concept

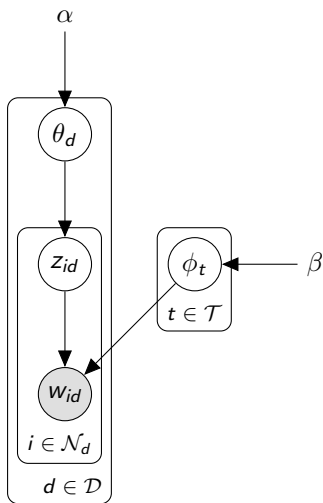
- Documents contain words (order ignored – bag of words)
- Documents have topics, e.g. politics, education, sport. . .
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- 
- First topic model: Latent semantic analysis (LSA)  
(one topic per document)  
(in ML1, lecture 13; as a recommender system)
  - Most well known: Latent Dirichlet allocation (LDA)  
(weighted mixture of topics per document)

# Latent Dirichlet allocation



## Latent Dirichlet allocation

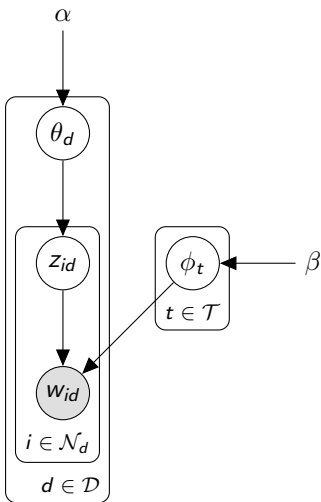


- $\alpha$  – Hyperparameter, indexed by topic,  $t \in \mathcal{T}$
- $\beta$  – Hyperparameter, indexed by word,  $w \in \mathcal{W}$
- $\theta_d \sim \text{Dirichlet}(\alpha)$  – RV over topics in document  $d \in \mathcal{D}$
- $\phi_t \sim \text{Dirichlet}(\beta)$  – RV over words,  $w \in \mathcal{W}$  in topic  $t \in \mathcal{T}$
- $z_{id} \sim \text{Cat}(\theta_d)$  – Which topic word  $i \in \mathcal{N}_d$  of document  $d \in \mathcal{D}$  belongs to
- $w_{id} \sim \text{Cat}(\phi_{z_{id}})$  – Observed word,  $w_{id} \in \mathcal{W}$

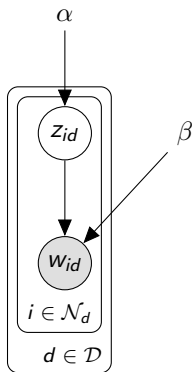
- Two choices:
  - Gibbs sampling
  - (Mean) field variational
- Not going to explain: In *Bayesian machine learning*
- Will give Gibbs sampling equation
- Both collapse the model first. . .

## Collapsing

- Three latent variables to infer:  $\theta$ ,  $\phi$  and  $z$



## Collapsing



- Three latent variables to infer:  $\theta$ ,  $\phi$  and  $z$
- Integrate out:  $\theta$  and  $\phi$
- $z$  only – faster!

## Gibbs sampling

- Gibbs sampling:

Repeat many times: Resample each unknown in model ( $z_{id}$ ), keeping all others fixed

$$P(z_{id} = t | \{z, w\}_{/z_{id}}, \alpha, \beta) \propto \underbrace{\frac{\beta_{w_{id}} + \sigma(w_{id}, \cdot, t)}{\sum_{v \in \mathcal{W}} \beta_v + \sigma(\cdot, \cdot, t)}}_{\int P(w|z, \phi) P(\phi|\beta) d\phi} \underbrace{\frac{\alpha_t + \sigma(\cdot, d, t)}{\sum_{s \in \mathcal{T}} \alpha_s + \sigma(\cdot, d, \cdot)}}_{\int P(z|\theta) P(\theta|\alpha) d\theta}$$

where

- $\sigma(w, d, t)$  = how many times word  $w$  in document  $d$  has been assigned to topic  $t$  with  $\cdot$  to indicate summing out
- $\alpha$  = hyperparameter of Dirichlet prior over topic distributions (vector indexed by topic)
- $\beta$  = hyperparameter of Dirichlet prior over word distributions (vector indexed by word)

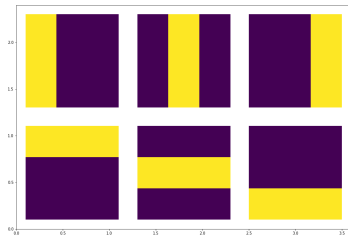
( $z_{id}$  being resampled must be excluded from counts)



## Visual results

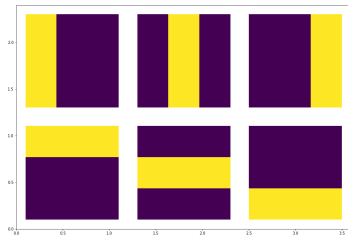
Consider  $3 \times 3$  images as documents, where pixels are words!

True topics:

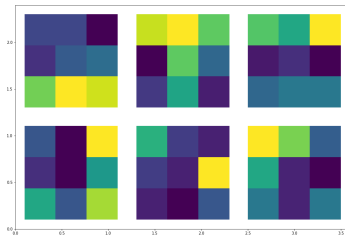


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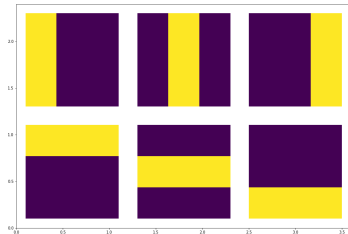
Documents:



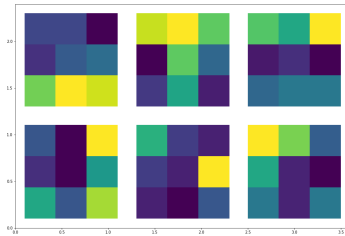
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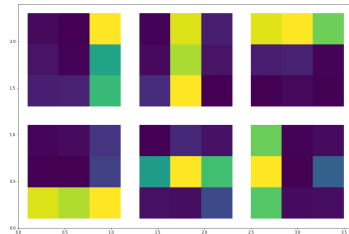
True topics:



Documents:



Estimated topics:



- Note how topic order is random

Reuters news data set (from 1987!), 20 topics:

- |    |  |                                                           |
|----|--|-----------------------------------------------------------|
| 0  |  | british churchill sale million major letters west         |
| 1  |  | church government political country state people party    |
| 2  |  | elvis king fans presley life concert young                |
| 3  |  | yeltsin russian russia president kremlin moscow michael   |
| 4  |  | pope vatican paul john surgery hospital pontiff           |
| 5  |  | family funeral police miami versace cunanan city          |
| 6  |  | simpson former years court president wife south           |
| 7  |  | order mother successor election nuns church nirmala       |
| 8  |  | charles prince diana royal king queen parker              |
| 9  |  | film french france against bardot paris poster            |
| 10 |  | germany german war nazi letter christian book             |
| 11 |  | east peace prize award timor quebec belo                  |
| 12 |  | n't life show told very love television                   |
| 13 |  | years year time last church world people                  |
| 14 |  | mother teresa heart calcutta charity nun hospital         |
| 15 |  | city salonika capital buddhist cultural vietnam byzantine |
| 16 |  | music tour opera singer israel people film                |
| 17 |  | church catholic bernardin cardinal bishop wright death    |
| 18 |  | harriman clinton u.s ambassador paris president churchill |
| 19 |  | city museum art exhibition century million churches       |

## Term frequency – inverse document frequency

- Topic models treat all words as equal,  
e.g. “*logarithmic*” and “*is*” are equal (when discussing maths!)
- Solution:
  - Unimportant: Words that appear everywhere, e.g. “*is*”
  - Important: Rare words that are heavily used in current document, e.g. “*logarithmic*”
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- Term frequency – inverse document frequency:

$$\text{tf-idf}(w, d) = \frac{f_{w,d}}{f_d} \log \left( \frac{N}{d_w} \right)$$

where

- $f_{w,d}$  = number of times word  $w$  appears in document  $d$
- $f_d$  = number of words in document  $d$
- $N$  = number of documents in corpus
- $d_w$  = number of documents containing word  $w$

## Word vectors

- Main weakness: Indicator vectors with flag for each word
- Independent words  $\therefore$  learning about “*cat*” tells us nothing about “*lion*”
- ML is all about similarity – have none
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- Need to *share statistical strength* between similar words
- What if we could embed words in a vector space?
  - Nearby = similar
  - Faraway = dissimilar



## Distributional hypothesis

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- Visible in ratios:

Equation	$k = \text{solid}$	$k = \text{gas}$	$k = \text{water}$	$k = \text{fashion}$
$P(k \text{ice})$	$1.9 \times 10^{-4}$	$6.6 \times 10^{-5}$	$3.0 \times 10^{-3}$	$1.7 \times 10^{-5}$
$P(k \text{steam})$	$2.2 \times 10^{-5}$	$7.8 \times 10^{-4}$	$2.2 \times 10^{-3}$	$1.8 \times 10^{-5}$
$\frac{P(k \text{ice})}{P(k \text{steam})}$	8.9	$8.5 \times 10^{-2}$	1.36	0.96

(context is 10 words either side of conditional word, corpus has 42 billion tokens)

- 8.9: “solid is related to ice but not steam” (high value)
- $8.5 \times 10^{-2}$ : “gas is related to steam but not ice” (low value)
- Around 1: Equally relevant (water) or not related (fashion)

## GloVe I

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- Need symmetry, i.e. does right thing when swapping roles of  $i$ ,  $j$ , and  $k$

$$\text{symmetry} \implies F((w_i - w_j)^T w_k) = \frac{F(w_i^T w_k)}{F(w_j^T w_k)}$$

$$\therefore F(w_i^T w_k) = P(k|i)$$

- Only  $F(\cdot) = \exp(\cdot)$  preserves symmetry:

$$F(w_i^T w_k) = P(k|i)$$

$$w_i^T w_k = \log P(k|i) = \log X_{ki} - \log X_i.$$

where  $X_{ki}$  is the number of times word  $i$  is seen in the context of word  $k$ ,  $\cdot$  to sum out

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- Symmetry hack: Replace  $\log X_i.$  with a bias term,  $b_i$ , and include bias for  $b_k$  as well

$$w_i^T w_k + b_i + b_k = \log X_{ki}$$



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- Optimise:

$$\operatorname{argmin} \sum_{i=1}^V \sum_{j=1}^V f(X_{ij}) [w_i^T w_j + b_i + b_j - \log X_{ij}]^2$$

where  $f(x)$  goes to zero as  $x$  does, to protect against  $\log(0) = -\infty$   
(they use  $f(x) = \min \left\{ \left( \frac{x}{100} \right)^{0.75}, 1 \right\}$ )

- Random initialisation then AdaGrad
- Vector length: 100 or 300
- Requires large corpus: 6 to 840 billion tokens!
- Slow to train – avoid!
- Get solution from <https://nlp.stanford.edu/projects/glove/>

## Results: Distance

- Nearest neighbours to frog:

1. *frogs*
2. *toad*
3. *litoria*
4. *leptodactylidae*
5. *rana*
6. *lizard*
7. *eleutherodactylus*



Litoria



Leptodactylid



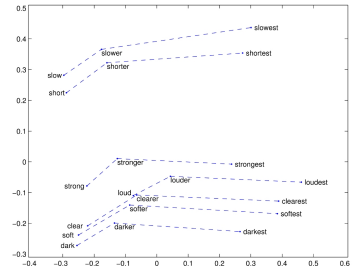
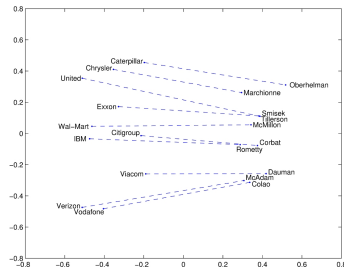
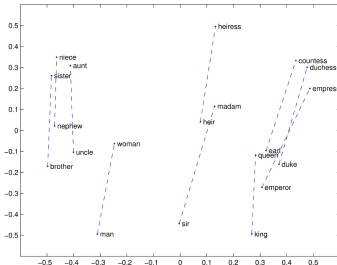
(common frog)



Eleuthero

## Results: Relationships

- Note how it was driven by three way relationships?
- Offsets often have meaning. . .



## Sentiment analysis

- Already seen!
- Estimate how positive/negative text is  
e.g. to analyse peoples reaction to a politician
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- Already seen!
- Estimate how positive/negative text is  
e.g. to analyse peoples reaction to a politician
- Typically word based – take average for entire sentence
- Indicator vectors: Only have weights for known words
- Word vectors: Weight every word – ML interpolates from known

## Example

- Positive/negative word lists of Minqing Hu and Bing Liu  
<http://www.cs.uic.edu/~liub/FBS/sentiment-analysis.html>
- Linear regression (reweighted for imbalance, matches linear assumption of model)

### Positive (+1) word examples:

- knowledgeable
- brighten
- warmth
- lovably
- thank
- helping
- feisty
- sprightly

(total = 2006)

### Negative (-1) word examples:

- antagonistic
- tepid
- malevolently
- rattle
- disingenuously
- ungovernable
- moronic
- invalid

(total = 4783)

### Example output:

- sunrise = 0.690
- shoes = 0.409
- banker = 0.326
- lawyer = -0.040
- pirate = -0.452
- politician = -0.500
- snake = -0.694
- worm = -0.929

- Warning: Racist – weights assigned to names reflect biases of training corpus
- Training with neutral words (inc. names) helps

## Part of speech

- Labelling words with role:

They say the company has produced some shoddy work and charges too much .

PRP VBP DT NN VBZ VBN DT JJ NN CC VBZ RB JJ .

- Subset of POS labels:

- NN = Noun
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(*Penn Treebank* labelling; there are others)



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- “work”: A **noun** above, but can also be a **verb**
- Context matters!

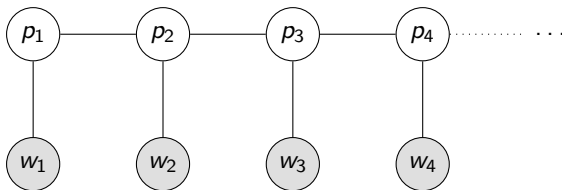
## Penn Treebank labels

CC	Coordinating conjunction	NNP	Proper noun, singular	UH	Interjection
CD	Cardinal number	NNPS	Proper noun, plural	VB	Verb, base form
DT	Determiner	PDT	Predeterminer	VBD	Verb, past tense
EX	Existential there	POS	Possessive ending	VBG	Verb, gerund or present participle
FW	Foreign word	PRP	Personal pronoun	VBN	Verb, past participle
JJ	Adjective	PRP\$	Possessive pronoun	VBP	Verb, non-3rd person singular present
JJR	Adjective, comparative	RB	Adverb	VBZ	Verb, 3rd person singular present
JJS	Adjective, superlative	RBR	Adverb, comparative	WDT	Wh-determiner
LS	List item marker	RBS	Adverb, superlative	WP	Wh-pronoun
MD	Modal	RP	Particle	WP\$	Possessive wh-pronoun
NN	Noun, singular or mass	SYM	Symbol	WRB	Wh-adverb
NNS	Noun, plural	TO	to	IN	Preposition or subordinating conjunction

## Inference

- Many algorithms. One approach: Train classifier on word vectors
- But no context. . .

- Many algorithms. One approach: Train **probabilistic** classifier on word vectors
- But no context. . .
- (conditional) Hidden Markov chain – learn POS transition matrix  
(solve with dynamic programming / forward-backwards / viterbi)



where

- $w_i$  = word vector for token  $i$
- $p_i$  = part of speech tag for token  $i$

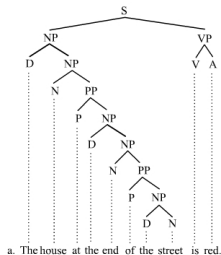
## Parse trees

- Using *part of speech* a *parse tree* can be constructed
- Rule based (context-free grammars); fragile, e.g.

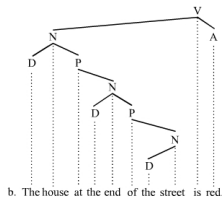
$$S \rightarrow NPVP$$

S – sentence    NP – noun phrase    VP – verb phrase

- Multiple kinds: Phrase structure, Dependency grammar



Constituency structure



Dependency structure

## Named entity recognition

- Labelling words, again, but this time names:

Mr. Blobby made his comments to the British Broadcasting Corporation Wednesday

B-per I-per 0 0 0 0 0 B-org I-org I-org B-tim

- Inside-outside-beginning 2 [IOB2] format: (there are others)
  - 0 – Outside, not a name
  - B – Beginning of a name
  - I – Inside of a name
  - per – Person
  - org – Organisation
  - tim – Time
  - gpe – Geo-political-entity
  - loc – Location
  - fac – Facilities
  - ⋮

## Inference

- Same as *part of speech*: Classifier + hidden Markov chain
- Provide POS as input
- Include capitalisation as a feature

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- Major challenge of NLP
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  - Use ML to filter results
- Typical failure: (insufficient context)  
    *"Early scientists believed that the earth is the centre of the universe"*  
     $\Rightarrow$  (earth, centre, universe)

## Information extraction ideas

- Learn rules from examples
- Self-supervision by growing rule set from seed supervision
- Rules to transform sentences, simplifying them – meet in the middle
- Inadvertent data sets: e.g. Wikipedia fact boxes that are mirrored by text
- Capturing context, e.g.  
(*early scientists, believed, (earth, centre, universe)*)

## So much more

- Language identification
- Word sense disambiguation
- Semantic graphs
- Thought vectors
- Text generation
- Text to speech
- Question answering
- Chat bots
- Machine translation
- Speech recognition (also, lip reading)
- Summarisation
- Text simplification

## Summary

- NLP is large!
- Covered in some detail
  - Topic models
  - Word vectors
- + others!

## Further reading

- Reasonable book on NLP (first 10 chapters are rule based however):  
“*An Introduction to Information Retrieval*”,  
by Manning, Raghavan & Schütze (2008)
- Second LDA paper (much easier than first):  
“*Finding scientific topics*”,  
by Griffiths & Steyvers (2004)
- Glove word vector paper – has great intuition:  
“*GloVe: Global Vectors for Word Representation*”,  
by Pennington, Socher & Manning (2014)
- “*Survey on Open Information Extraction*”,  
by Niklaus, Cetto, Freitas & Handschuh (2018)

- Leptodactylidae:  
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